

| CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity) Submit an original, and a duplicate for fee processing. (Only for Continuation or Divisional Applications Under 37 CFR 1.53(d)) | | | | | Docket No. BEIERSDORF 474-KGB | |
|--|--------|-------------|--------|----------------|----------------------------------|--|
| First Named Inventor | | Examiner | | Group/Art Unit | | |
| Heinrich GERS-BARLAG, ET AL | | MARINA LAMM | | 1616 | | |
| <p>Address to: Assistant Commissioner for Patents Box CPA Washington, D.C. 20231</p> <p>This is a request for filing a <input checked="" type="checkbox"/> continuation, or <input type="checkbox"/> divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number <u>08/987,468</u> filed on <u>December 10, 1997</u> and entitled: SUNSCREEN PREPARATIONS CONTAINING SURFACE-ACTIVE MONO- OR OLIGOGLYCERYL COMPOUNDS, WATER SOLUBLE UV FILTER SUBSTANCES AND, IF DESIRED, INORGANIC MICROPIGMENTS</p> <p>1. <input type="checkbox"/> Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.</p> <p>2. <input checked="" type="checkbox"/> A preliminary amendment is enclosed.</p> <p>3. <input type="checkbox"/> This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).</p> <p>a. <input type="checkbox"/> DELETE the following inventor(s) named in the prior nonprovisional application:</p> <p>b. <input type="checkbox"/> The inventor(s) to be deleted are set forth on a separate sheet attached hereto.</p> <p>4. <input type="checkbox"/> A new power of attorney or authorization of agent is enclosed.</p> <p>5. <input type="checkbox"/> An Information Disclosure Statement (IDS) is enclosed:</p> <p>a. <input type="checkbox"/> PTO-1449</p> <p>b. <input type="checkbox"/> Copies of IDS Citations</p> <p>6. <input type="checkbox"/> The fee for this application is calculated as follows:</p> | | | | | | |
| CLAIMS AS FILED | | | | | | |
| For | #Filed | #Allowed | #Extra | Rate | Fee | |
| Total Claims | 17 | - 20 = | 0 | x \$18.00 | \$0.00 | |
| Indep. Claims | 2 | - 3 = | 0 | x \$80.00 | \$0.00 | |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 | |
| BASIC FEE | | | | | \$710.00 | |
| Plus One Month Extension of Time - \$110.00 | | | | | \$820.00 | |

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7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to
Deposit Account No. 14-1263

- ☐ fees required under 37 C.F.R. 1.16.
☐ fees required under 37 C.F.R. 1.17.
☐ fees required under 37 C.F.R. 1.18.



8. ☐ A check in the amount of _____ is enclosed.

9. ☒ Also enclosed:

Petition For Extension of Time - One Month

10. ☒ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

Norris McLaughlin & Marcus, P.A.
220 East 42nd Street - 30th floor
New York, New York 10017

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

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Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: 2 March 2001

Howard C. Lee
Signature

Howard C. Lee

Typed or printed name

48,104-P

Registration Number (if applicable)

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

cc:



Beiersdorf 474.1-KGB
6713-Dr. Wi-ar

P. TUCK
27/2
3/22/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : GERS-BERLAG et al.
SERIAL NO. : 08/987,468
FILED : 10 December 1997
FOR : SUNSCREEN PREPARATIONS CONTAINING SURFACE-ACTIVE
MONO- OR OLIGOGLYCERYL COMPOUNDS, WATER SOLUBLE
UV FILTER SUBSTANCES AND, IF DESIRED, INORGANIC
MICROPIGMENTS
ART UNIT : 1616
EXAMINER : Marina Lamm

2 March 2001

Hon. Commissioner of Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

SIR:

Prior to examination, please amend the above-identified application as follows:

IN THE CLAIMS:

Please add the following new claims:

27. A water-resistant cosmetic or dermatological sunscreen formulation according to claim 12 wherein component b) is polyglyceryl-4 isostearate.
28. A method of making the water resistant cosmetic or dermatological sunscreen formulation of claim 12 which comprises of mixing an effective amount of:

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